



PATENT
Attorney Docket No. TAM-001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Fawcett et al.
SERIAL NO.: 10/712,076 GROUP NO.: 3629
FILING DATE: November 13, 2003 EXAMINER: Not yet assigned
TITLE: Systems and Methods for Retrieving Data

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicants hereby make of record the patent and publications listed on the accompanying Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. In accordance with 37 C.F.R. 1.98(a)(2), only copies of the non-patent publications are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**
- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or

- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that [check the appropriate space only if either (2) or (3) is checked on the previous page and the Statement is required]:

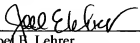
- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

Date: March 17, 2005
Reg. No. 56,401

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VER 11/03



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FORM PTO/US 449 SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT				ATTORNEY DOCKET NO.: TAM-001 APPLICANT(S): Fawcett et al. SERIAL NO.: 10/712,076 FILING DATE: November 13, 2003 GROUP: 3629					
U.S. PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE		
	A38	6,618,732	09/09/03	White et al.	707	103	11/14/00		
FOREIGN PATENT DOCUMENTS									
EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
OTHER ART, JOURNAL ARTICLES, ETC.									
EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)								
	C11	International Search Report for PCT/US2004/038641 dated February 17, 2005.							
	C12	Written Opinion of the International Searching Authority for PCT/US2004/038641 dated February 17, 2005.							
	C13	"KBMS-Prototype KRISYS User Manual Overview," December 1992, pages 1-94.							
	C14	Mattos et al., "Grand Tour of Concepts for Object-Orientation from a Database Point of View," Data & Knowledge Engineering, vol. 9, no. 3, 1992, pages 321-352.							
	C15	Pollitt et al., "HIBROWSE: Adding the Power of Relational Databases to the Traditional IR Architecture - The Future for Graphic User Interfaces," Information Retrieval. New Systems and Current Research. Proceedings of the Research Colloquium of the British Computer Society Systems and Current Research, March 29 1993.							
	C16	Zhang et al., "On a Buzzword "Extensibility" - What We Have Learned from the ORIENT Project?" Database Engineering and Applications, 1999. Ideas '99. International Symposium Proceedings Montreal, Que., Canada 2-4 Aug. 1999, Los Alamitos, CA, USA, IEEE Comput. Soc. US, August 2, 1999, pages 360-369							
EXAMINER		/Gabrielle McCormick/				DATE CONSIDERED		11/18/2008	

VER 9/00



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SERIAL NO.: 10/712,076 GROUP NO.: 3629
FILING DATE: November 13, 2003 EXAMINER: Not yet assigned
TITLE: Systems and Methods for Retrieving Data

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 17 day of March, 2005.


Susan Boucher

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

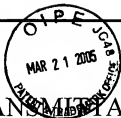
Submitted herewith is/are:

1. Transmittal Form (1 page);
2. Second Supplemental Information Disclosure Statement (2 pages);
3. Form PTO 1449 (1 page);
4. Copies of cited references C11-C16; and
5. Return-receipt postcard.

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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /G.M./



TRANSMITTAL FORM

Application Serial Number	10/712,076
Filing Date	November 13, 2003
First Named Inventor	Fawcett
Group Art Unit	3629
Examiner Name	Not yet assigned
Attorney Docket No.	TAM-001
Patent No.	Not applicable
Issue Date	Not applicable

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form <input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets <u> </u>] <input type="checkbox"/> Petition for Extension of Time <input checked="" type="checkbox"/> Second Supplemental Information Disclosure Statement <input checked="" type="checkbox"/> Form PTO-1449 <input checked="" type="checkbox"/> Copies of Second Supp. IDS Citations (C11-C16) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application <input type="checkbox"/> Formal Drawing(s) <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application <input type="checkbox"/> Small Entity Statement <input type="checkbox"/> CD(s) for large table or computer program <input type="checkbox"/> Amendment After Allowance <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences <input type="checkbox"/> Appeal Brief (in triplicate) <input type="checkbox"/> Status Inquiry <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8 <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8 <input type="checkbox"/> Additional Enclosure(s) (please identify below)
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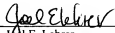
CORRESPONDENCE ADDRESS

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SIGNATURE BLOCK

Respectfully submitted,

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